Questions for Consultation on Issues Paper on Insurance Sector Operational Resilience

Thank you for your interest in the public consultation on the Issues Paper on Insurance Sector Operational Resilience. The Consultation Tool is available on the IAIS website.

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| **Please do not submit this document to the IAIS. All responses to the Consultation Document must be made via the** [**Consultation Tool**](https://web.iaisweb.org/iaisconsultations/consultationintro/BCD33DEBBE325CDB83FEDF02B625C2F4/9A60EB984525E31997CA0A66318146998E0374F3474C47DDBFFE908E76549BEDF5BFA17006163F2EF21341D10D9BAB93) **to enable those responses to be considered.** |

Consultation questions

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| 1 | General comments on the Issues Paper  |
| 2 | General comments on Section 1 Introduction  |
| 3 | General comments on Section 1.1 Objectives and Scope |
| 4 | Comment on Paragraph 1 |
| 5 | Comment on Paragraph 2 |
| 6 | Comment on Paragraph 3 |
| 7 | General comments on Section 1.2 Relevance of operational resilience to the insurance sector  |
| 8 | Comment on Paragraph 4 |
| 9 | Comment on Paragraph 5 |
| 10 | Comment on Paragraph 6 |
| 11 | Comment on Paragraph 7 |
| 12 | Comment on Paragraph 8 |
| 13 | Comment on Paragraph 9 |
| 14 | Comment on Paragraph 10 |
| 15 | Comment on Paragraph 11 |
| 16 | General comments on Section 1.3 Issues Paper structure |
| 17 | Comment on Paragraph 12 |
| 18 | Comment on Paragraph 13 |
| 19 | Comment on Paragraph 14 |
| 20 | Comment on Paragraph 15 |
| 21 | Comment on Paragraph 16 |
| 22 | Comment on Paragraph 17 |
| 23 | General comments on Section 2 Applicability of ICPs to operational resilience  |
| 24 | Comment on Paragraph 18 |
| 25 | Comment on Paragraph 19 |
| 26 | Comment on Paragraph 20 |
| 27 | Comment on Paragraph 21 |
| 28 | Comment on Paragraph 22 |
| 29 | Comment on Paragraph 23 |
| 30 | Comment on Paragraph 24 |
| 31 | General comments on Section 3 Key issues and supervisory approaches |
| 32 | Comment on Paragraph 25 |
| 33 | Comment on Paragraph 26 |
| 34 | Comment on Paragraph 27 |
| 35 | Comment on Paragraph 28 |
| 36 | Comment on Paragraph 29 |
| 37 | General comments on Section 3.1 Governance and Board accountability |
| 38 | Comment on Paragraph 30 |
| 39 | Comment on Paragraph 31 |
| 40 | Comment on Paragraph 32 |
| 41 | Comment on Paragraph 33 |
| 42 | Comment on Paragraph 34 |
| 43 | General Comments on Section 3.1.1 Lessons learnt from the pandemic |
| 44 | Comment on Paragraph 35 |
| 45 | Comment on Paragraph 36 |
| 46 | General comments on 3.1.2 Supervisory approaches |
| 47 | Comment on Paragraph 37 |
| 48 | General Comments on Section 3.2 Information collection and sharing among supervisors, public/private collaboration |
| 49 | Comment on Paragraph 38 |
| 50 | Comment on Paragraph 39 |
| 51 | Comment on Paragraph 40 |
| 52 | General comment on Section 3.2.1 Lessons learnt from the pandemic |
| 53 | Comment on Paragraph 41 |
| 54 | General comments on Section 3.2.2 Supervisory approaches |
| 55 | Comment on Paragraph 42 |
| 56 | Comment on Paragraph 43 |
| 57 | Comment on Paragraph 44 |
| 58 | Comment on Paragraph 45 |
| 59 | General Comments on Section 3.3 Cyber resilience |
| 60 | Comment on Paragraph 46 |
| 61 | Comment on Paragraph 47 |
| 62 | Comment on Paragraph 48 |
| 63 | Comment on Paragraph 49 |
| 64 | Comment on Paragraph 50 |
| 65 | Comment on Paragraph 51 |
| 66 | Comment on Paragraph 52 |
| 67 | Comment on Paragraph 53 |
| 68 | Comment on Paragraph 54 |
| 69 | Comment on Paragraph 55 |
| 70 | Comment on Paragraph 56 |
| 71 | Comment on Paragraph 57 |
| 72 | Comment on Paragraph 58 |
| 73 | General Comments on Section 3.3.1 Lessons learnt from the pandemic |
| 74 | Comment on Paragraph 59 |
| 75 | General Comments on Section 3.3.2 Supervisory approaches |
| 76 | Comment on Paragraph 60 |
| 77 | Comment on Paragraph 61 |
| 78 | Comment on Section 3.4 IT third-party outsourcing |
| 79 | Comment on Paragraph 62 |
| 80 | Comment on Paragraph 63 |
| 81 | Comment on Paragraph 64 |
| 82 | Comment on Paragraph 65 |
| 83 | Comment on Paragraph 66 |
| 84 | Comment on Paragraph 67 |
| 85 | Comment on Paragraph 68 |
| 86 | Comment on Section 3.4.1 Lessons learned from the pandemic |
| 87 | Comment on Paragraph 69 |
| 88 | Comment on Paragraph 70 |
| 89 | Comment on Paragraph 71 |
| 90 | Comment on Section 3.4.2 Supervisory approaches |
| 91 | Comment on Paragraph 72 |
| 92 | Comment on Paragraph 73 |
| 93 | Comment on Paragraph 74 |
| 94 | Comment on Paragraph 75 |
| 95 | Comment on Paragraph 76 |
| 96 | Comment on Paragraph 77 |
| 97 | General Comments on Section 3.5 Business continuity management |
| 98 | Comment on Paragraph 78 |
| 99 | Comment on Paragraph 79 |
| 100 | Comment on Paragraph 80 |
| 101 | Comment on Paragraph 81 |
| 102 | Comment on Paragraph 82 |
| 103 | Comment on Paragraph 83 |
| 104 | Comment on Paragraph 84 |
| 105 | Comment on Paragraph 85 |
| 106 | Comment on Paragraph 86 |
| 107 | Comment on Paragraph 87 |
| 108 | General Comments on Section 3.5.1 Lessons learnt from the pandemic |
| 109 | Comment on Paragraph 88 |
| 110 | Comment on Paragraph 89 |
| 111 | General Comments on Section 3.5.2 Supervisory approaches |
| 112 | Comment on Paragraph 90 |
| 113 | General Comments on Section 4 Summary of observations and potential future areas of IAIS focus |
| 114 | Comment on Paragraph 91 |
| 115 | Comment on Paragraph 92 |
| 116 | Comment on Paragraph 93 |
| 117 | Comment on Paragraph 94 |
| 118 | Comment on Paragraph 95 |
| 119 | Comment on Paragraph 96 |
| 120 | Comment on Paragraph 97 |
| 121 | Comment on Paragraph 98 |
| 122 | Consultation Question 1: Do you have views on the relative priority of the observations set out in section 4? Please indicate your preferred prioritisation and any relevant explanations. |
| 123 | Consultation question 2: Are there additional observations for potential future IAIS focus that you view as important to address with respect to insurance sector operational resilience, and which have not been identified in this Issues Paper? |
| 124 | Consultation Question 3: Do you find value in the IAIS facilitating cross-border information sharing to collect information to facilitate a dialogue on operational resilience exposures and best practices? Would you be willing to participate? |